

Online Authenticated Encryption and its Nonce-Reuse Misuse-Resistance

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“Online Authenticated Encryption”

- **Popular topic**
 - Several definitional works related to online AE
(blockwise attacks, CCA definition and online decryption, nonce misuse resistance, streaming channels)
- **Popular target**
 - CAESAR 1st round: 11 + 6 schemes claim online nonce misuse-resistance (or a variant)
 - New OAE construction presented at DIAC 2016
- **Repeatedly a point of discussion**
 - Definitional works appearing over a large timespan (2003 - now)
 - When is an AE scheme online?
 - When is an AE scheme online and nonce misuse-resistant?

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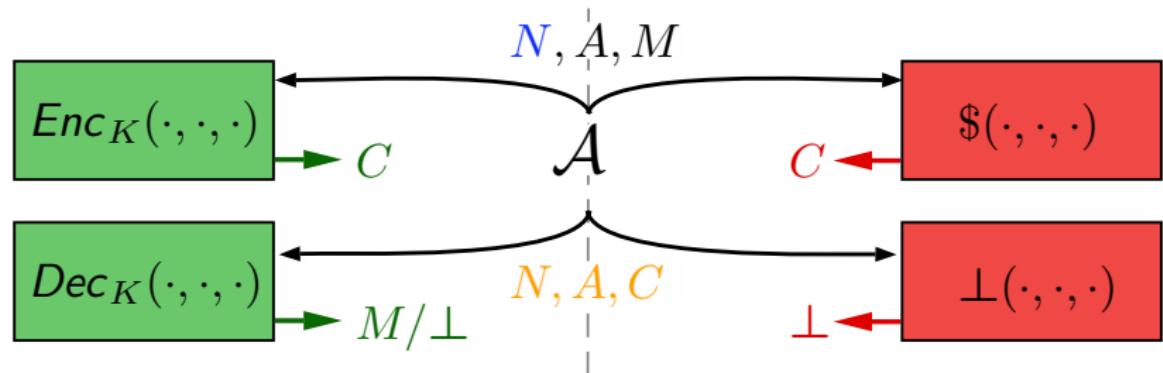
Nonce-based AEAD

[Rogaway 02]

$$Enc : \mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{M} \rightarrow \{0, 1\}^*$$

+ decryptability

$$Dec : \mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \{0, 1\}^* \rightarrow \mathcal{M} \cup \{\perp\}$$



N never repeats, (N, A, C) not trivially correct

$$\mathbf{Adv}_{\Pi}^{nAE}(\mathbf{A}) = \Pr \left[\mathbf{A}^{Enc_K(\cdot, \cdot, \cdot), Dec_K(\cdot, \cdot, \cdot)} \Rightarrow 1 \right] - \Pr \left[\mathbf{A}^{\$, \perp} \Rightarrow 1 \right]$$

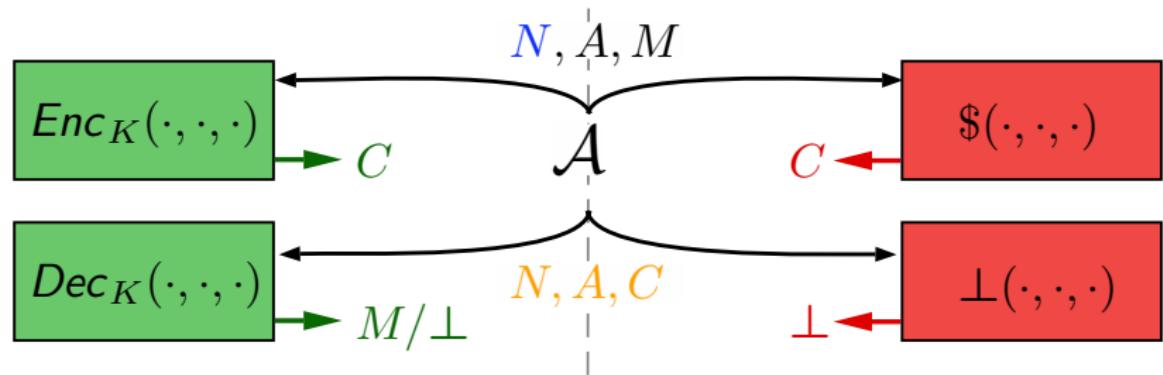
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$$\text{Adv}_{\Pi}^{nAE}(A) = \Pr[A^{Enc_K(\cdot,\cdot,\cdot), Dec_K(\cdot,\cdot,\cdot)} \Rightarrow 1] - \Pr[A^{\$, \perp} \Rightarrow 1]$$

☺ Efficient, good guarantees ... unless nonces repeat ☹

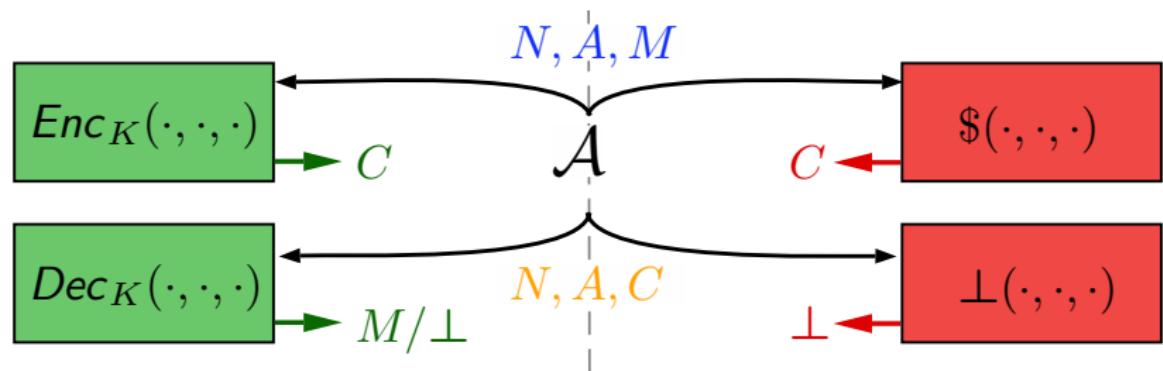
Nonce Misuse-Resistant AE

[Rogaway, Shrimpton 06]

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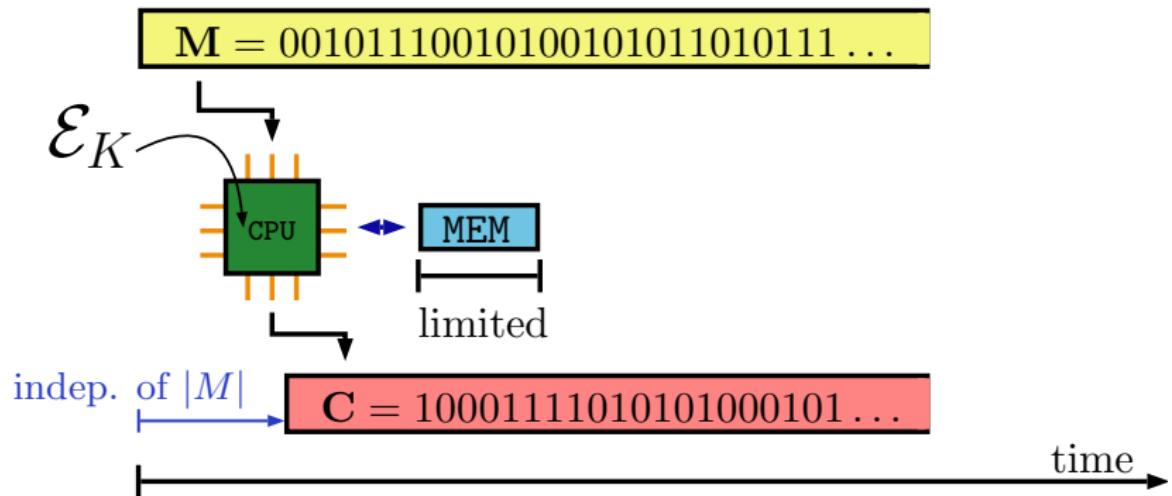
(N, A, M) never repeats, (N, A, C) not trivially correct

$$\text{Adv}_{\Pi}^{MRAE}(A) = \Pr \left[A^{Enc_K(\cdot, \cdot, \cdot), Dec_K(\cdot, \cdot, \cdot)} \Rightarrow 1 \right] - \Pr \left[A^{\$, \perp} \Rightarrow 1 \right]$$

Only full repetitions of (N, A, M) are leaked now, full integrity

Online Authenticated Encryption

Functionality Perspective



Extremely constrained devices

Performance-critical applications

Jitter-sensitive applications

Latency-sensitive applications

Misuse-Resistant Online AE?

Onliness at odds with MRAE security:

- ▶ MRAE: every bit of **C** must depend on all bits of **M**
- ▶ online AE: can't wait for all of **M** to compute **C**



Misuse-Resistant Online AE?

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Fleischmann, Forler, Lucks:

Online nonce misuse-resistant AE (OAE)

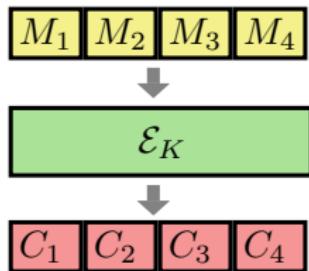
Promise a notion and schemes both

- ▶ nonce misuse-resistant: retains **security** in presence of **nonce repetition**
 - ▶ online: **single-pass** encryption with **O(1) of memory**
- Call it OAE1

Online Ciphers

[Bellare, Boldyreva, Knudsen, Namprempre 01]

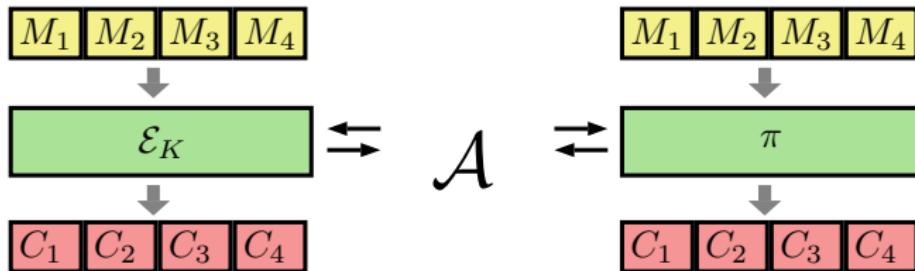
- Multiple of n strings \mathcal{B}_n^* (with $\mathcal{B}_n = \{0, 1\}^n$)
- Length preserving $\mathcal{E} : \mathcal{K} \times \mathcal{B}_n^* \rightarrow \mathcal{B}_n^*$



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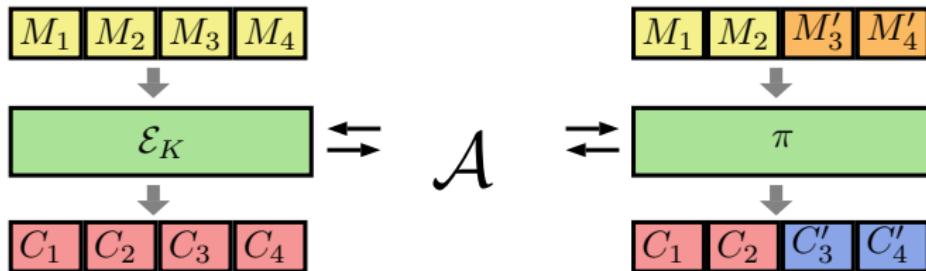
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with $\pi \leftarrow \$ \text{OPerm}[n]$

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OPerm[n] set of all ϕ s.t.

- ϕ is length preserving permutation over \mathcal{B}_n
- for all $X, Y, Y' \in \mathcal{B}_n$, $\phi(X||Y)$ and $\phi(X, Y')$ share prefix of $|X|$ bits

OAE1

[Fleischman,Forler,Lucks 12]

A multiple of n AE cipher is a triplet $\Pi = (\mathcal{K}, \mathcal{E}, \mathcal{D})$

$$\mathcal{E} : \mathcal{K} \times \mathcal{H} \times \mathcal{M} \rightarrow \{0, 1\}^*$$

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with $\mathcal{M} = \mathcal{B}_n^*$ and decryptability condition. Assume $|C| = |M| + \tau$.

OAЕ1

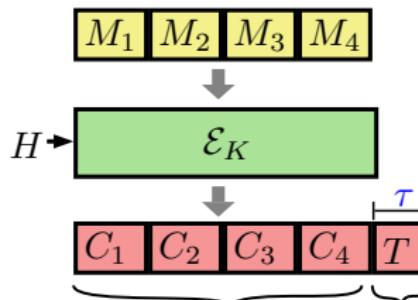
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Privacy

OPerm[n] + random tag

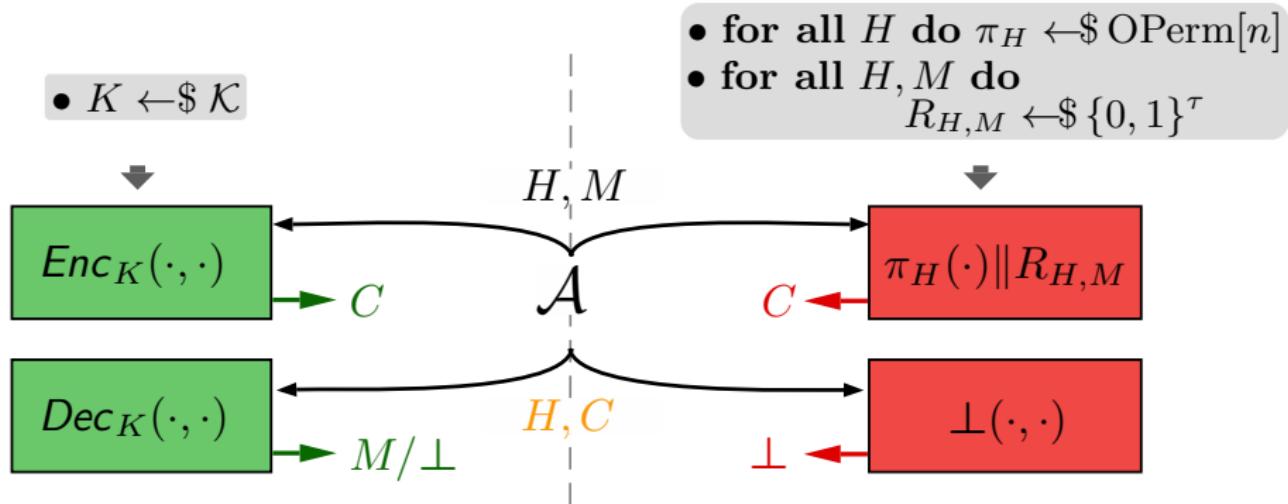
+

Authenticity

Unforgeability

OAЕ1

Security Notion



$$\mathbf{Adv}_{\mathcal{E}}^{opr}(A) = \Pr[A^{\mathcal{E}_K} \Rightarrow 1] - \Pr[A^\pi \Rightarrow 1]$$

H, C must not be obtained via previous encryption

OAE1

Attacks

Trivial Attack: OAE1 schemes preserve LCP[n]

- ▶ for $X, Y \in \mathcal{B}_n^*$, $\text{LCP}[n](X, Y)$ is longest common blockwise prefix

OAЕ1

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Given $C = \text{Enc}(H, M_1 || M_2 || M_3)$ obtain $M = M_1 || M_2 || M_3$

① $M \leftarrow \varepsilon$



② for $i = 1$ to 3

 ① find $B \in \mathcal{B}_n$ s.t.

$\text{LCP}[n](C, \text{Enc}(H, M || B)) = 1$

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OAЕ1

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Finding each B takes at most $2^n - 1$ Enc queries: **Decryption of ℓ block message with $\ell \times (2^n - 1)$ Enc queries**

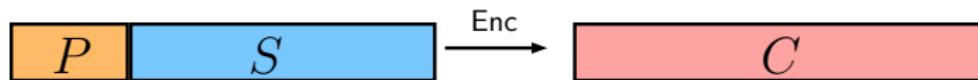
Small n ?! (e.g. 40 bits)

OAЕ1

Attacks

CPSS attack Inspired by the BEAST attack [Duong Rizzo 11]

Setting: e.g. block size $n = 128$ bits, byte-oriented strings



Chosen prefix under control and secret suffix to recover

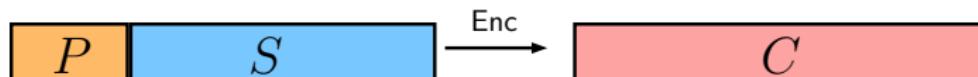
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OAЕ1

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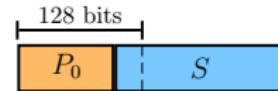
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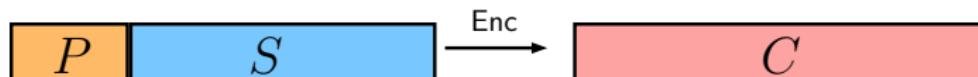


OAЕ1

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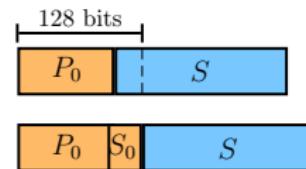
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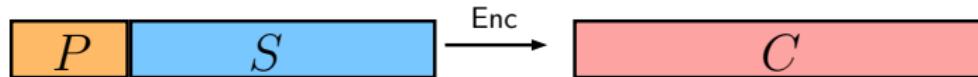


OAЕ1

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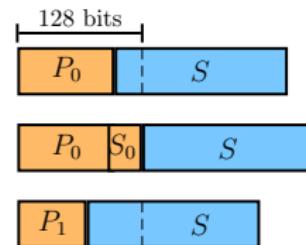
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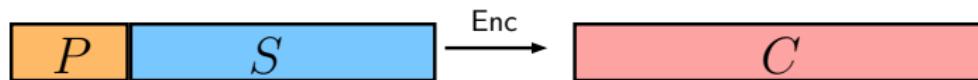


OAЕ1

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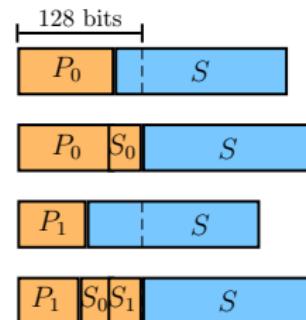
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CPSS Generalizes to:



- Chosen **part** of prefix under control
- Left and right part of prefix known
- Secret **part** of suffix to recover
- Arbitrary remainder of suffix

⇒ Corresponds to HTTP

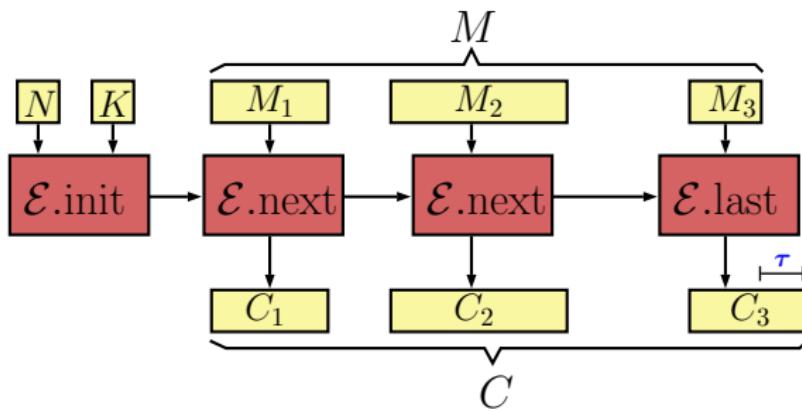
Beyond Attacks

- **What about online decryption?**
 - ▶ Online encryption necessary due to constraints; don't these apply to decryption as well?
 - **What about arbitrary length string?**
 - ▶ Must be processed in reality, security must be defined for **all** inputs!
 - **Why should the blocksize n be determined by the designer?**
 - ▶ Online processing necessary due to resource constraints; the user should be able to select the blocksize according to its resources!
- ⇒ **Why refer to an online cipher followed by a random tag? Is this ideal?**
- ▶ We can make better!



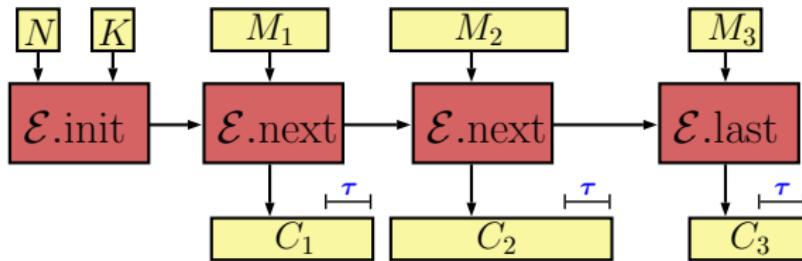
Key Ideas

- User selectable segmentation
 - Possibly non-uniform segments
 - Arbitrary segment length



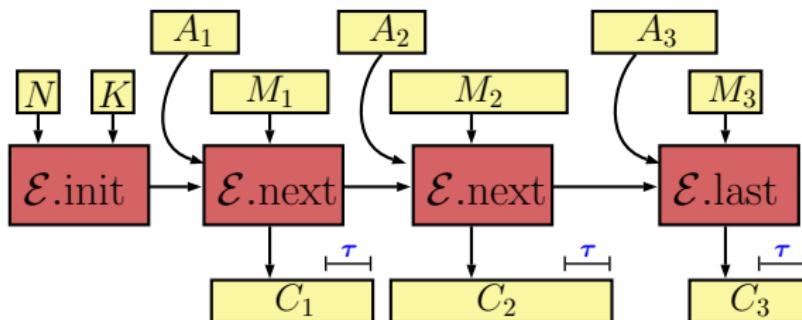
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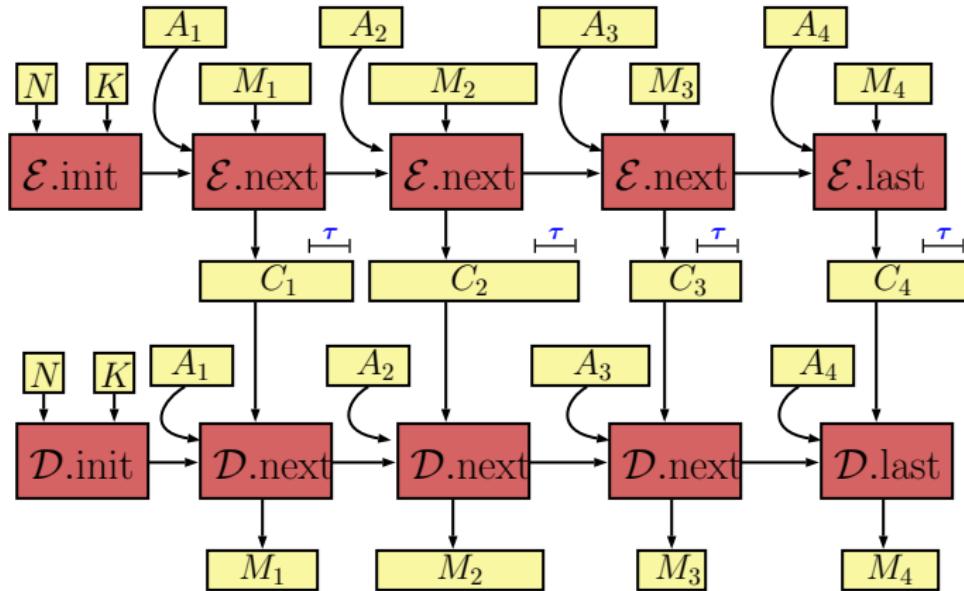


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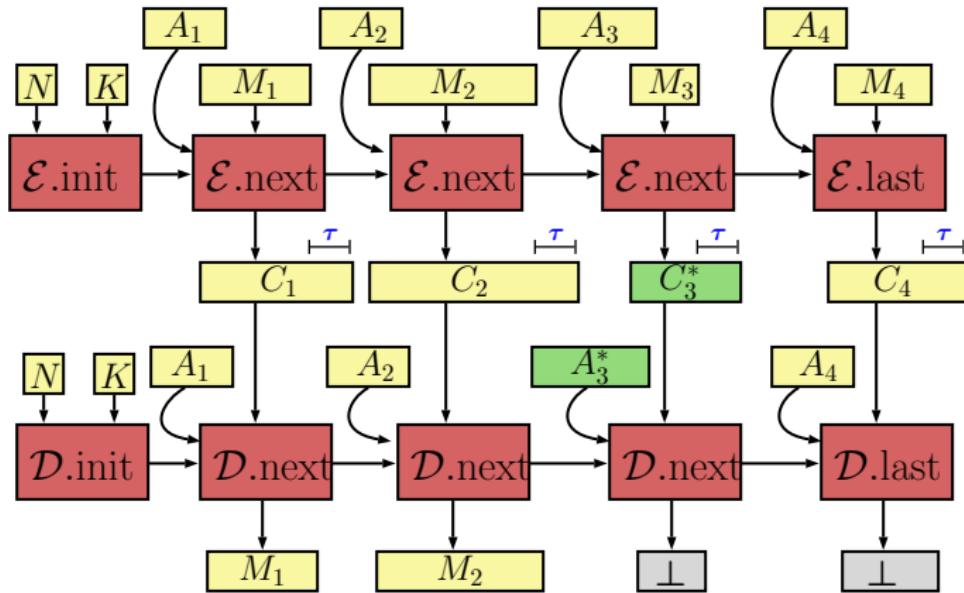
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 - Possibly non-uniform segments
 - Arbitrary segment length
- Expand *every block*
- Segment AD as well



Unforgeability

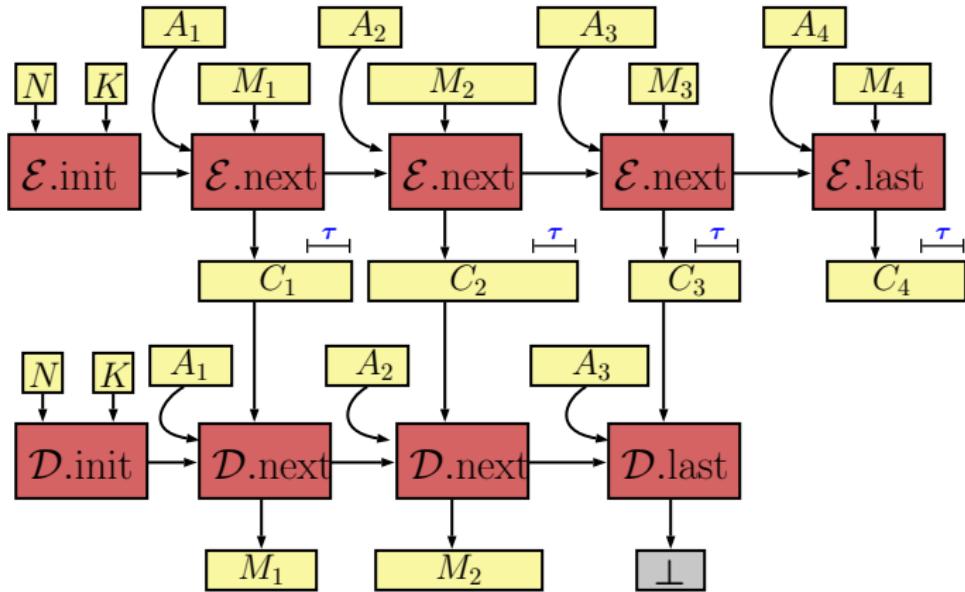


Unforgeability



Online decryption returns nothing after first authentication failure

Unforgeability



Obtaining $(A, B, C, D) \xrightarrow{\mathcal{E}_K} (W, X, Y, Z)$ should not allow
 $(W, X, Y) \xrightarrow{\mathcal{D}_K} (A, B, C)!$

OAЕ2

Syntax

An OAE2 scheme $\Pi = (\mathcal{K}, \mathcal{E}, \mathcal{D})$

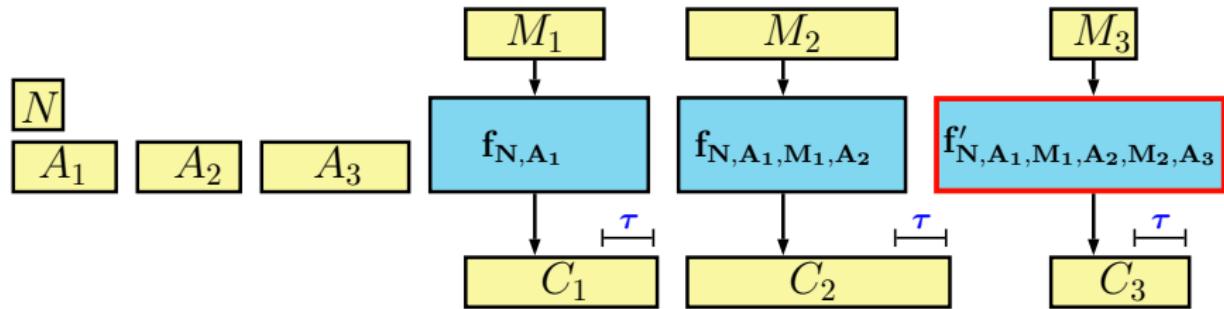
- \mathcal{K} a distribution on strings
- $\mathcal{E} = (\mathcal{E}.\text{init}, \mathcal{E}.\text{next}, \mathcal{E}.\text{last})$ 3 deterministic algorithms
- $\mathcal{D} = (\mathcal{D}.\text{init}, \mathcal{D}.\text{next}, \mathcal{D}.\text{last})$ 3 deterministic algorithms

- | | |
|--|---|
| ● $\mathcal{E}.\text{init} : \mathcal{K} \times \mathcal{N} \rightarrow \mathcal{S}$ | ● $\mathcal{D}.\text{init} : \mathcal{K} \times \mathcal{N} \rightarrow \mathcal{S}$ |
| ● $\mathcal{E}.\text{next} : \mathcal{S} \times \mathcal{A} \times \mathcal{M} \rightarrow \mathcal{C} \times \mathcal{S}$ | ● $\mathcal{D}.\text{next} : \mathcal{S} \times \mathcal{A} \times \mathcal{C} \rightarrow (\mathcal{M} \times \mathcal{S}) \cup \{\perp\}$ |
| ● $\mathcal{E}.\text{last} : \mathcal{S} \times \mathcal{A} \times \mathcal{M} \rightarrow \mathcal{C}$ | ● $\mathcal{D}.\text{last} : \mathcal{S} \times \mathcal{A} \times \mathcal{C} \rightarrow \mathcal{M} \cup \{\perp\}$ |

⇒ Π “online” if $|\mathcal{S}|$ is finite and representation fits in memory

OAЕ2

Ideal Reference



$f_{\langle \cdot \rangle} : \{0, 1\}^* \rightarrow \{0, 1\}^*$ is a τ expanding random injection tweaked by everything in $\langle \cdot \rangle$

OAЕ2

Ideal Reference

Formally $F \leftarrow \$ \text{ IdealOAE}(\tau)$ means

```
for  $m \in \mathbb{Z}^+$ ,  $N \in \{0, 1\}^*$ ,  $\mathbf{A} \in (\{0, 1\}^*)^m$ ,  $\mathbf{M} \in (\{0, 1\}^*)^{m-1}$  do
     $f_{N, \mathbf{A}, \mathbf{M}, 0} \leftarrow \$ \text{Inj}(\tau)$ ;  $f_{N, \mathbf{A}, \mathbf{M}, 1} \leftarrow \$ \text{Inj}(\tau)$ 

for  $m \in \mathbb{Z}^+$ ,  $\mathbf{A} \in (\{0, 1\}^*)^m$ ,  $\mathbf{X} \in (\{0, 1\}^*)^m$ ,  $\delta \in \{0, 1\}$  do
     $F(N, \mathbf{A}, \mathbf{X}, \delta) \leftarrow (f_{N, \mathbf{A}[1..1], \Lambda, 0}(\mathbf{X}[1]), f_{N, \mathbf{A}[1..2], \mathbf{X}[1..1], 0}(\mathbf{X}[2]),
        f_{N, \mathbf{A}[1..3], \mathbf{X}[1..2], 0}(\mathbf{X}[3]), \dots, f_{N, \mathbf{A}[1..m-1], \mathbf{X}[1..m-2], 0}(\mathbf{X}[m-1]),
        f_{N, \mathbf{A}[1..m], \mathbf{X}[1..m-1], \delta}(\mathbf{X}[m]))$ 
return  $F$ 
```

where

- $(\{0, 1\}^*)^m$ is the set of all lists of m strings
- Λ is an empty list,
- $\mathbf{X}[i]$ is i^{th} string, $\mathbf{X}[i..j]$ is a sublist

OAЕ2

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      f_{N, \mathbf{A}[1..m], \mathbf{X}[1..m-1], \delta}(\mathbf{X}[m]))$ 
  return  $F$ 
```

where

- $(\{0, 1\}^*)^m$ is the set of all lists of m strings
- Λ is an empty list,
- $\mathbf{X}[i]$ is i^{th} string, $\mathbf{X}[i..j]$ is a sublist

OAЕ2

Ideal Reference

Formally $F \leftarrow \$ \text{ IdealOAE}(\tau)$ means

```
for  $m \in \mathbb{Z}^+$ ,  $N \in \{0, 1\}^*$ ,  $\mathbf{A} \in (\{0, 1\}^*)^m$ ,  $\mathbf{M} \in (\{0, 1\}^*)^{m-1}$  do
     $f_{N, \mathbf{A}, \mathbf{M}, 0} \leftarrow \$ \text{Inj}(\tau)$ ;  $f_{N, \mathbf{A}, \mathbf{M}, 1} \leftarrow \$ \text{Inj}(\tau)$ 

for  $m \in \mathbb{Z}^+$ ,  $\mathbf{A} \in (\{0, 1\}^*)^m$ ,  $\mathbf{X} \in (\{0, 1\}^*)^m$ ,  $\delta \in \{0, 1\}$  do
     $F(N, \mathbf{A}, \mathbf{X}, \delta) \leftarrow (f_{N, \mathbf{A}[1..1], \Lambda, 0}(\mathbf{X}[1]), f_{N, \mathbf{A}[1..2], \mathbf{X}[1..1], 0}(\mathbf{X}[2]),
        f_{N, \mathbf{A}[1..3], \mathbf{X}[1..2], 0}(\mathbf{X}[3]), \dots, f_{N, \mathbf{A}[1..m-1], \mathbf{X}[1..m-2], 0}(\mathbf{X}[m-1]),
        f_{N, \mathbf{A}[1..m], \mathbf{X}[1..m-1], \delta}(\mathbf{X}[m]))$ 

return  $F$ 
```

where

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OAE2

The Definitions

Three definitions that are \approx equivalent:

→ Different approaches → Clarify the quantitative relationship

- **OAE2a** Simplest definition, succinctly captures *best possible* security of online AE schemes
 - Adversary submits and receives segmented strings
- **OAE2b** Captures the capabilities of an adversary more realistically
 - Adversary can submit queries segment-by-segment, immediately observing the outputs
- **OAE2c** *Aspirational* notion, captures ideal, albeit unachievable security
 - Separates privacy and authenticity
 - nAEAD-like privacy

OAE2

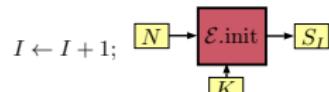
The Definitions

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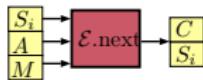
$I, J \leftarrow 0; K \leftarrow \mathcal{K}$



$\xleftarrow{\text{Enc.init}(N)} I \xrightarrow{I} \xleftarrow{\text{Enc.init}(N)}$

$I \leftarrow I + 1; N_I \leftarrow N; \mathbf{A}_I \leftarrow \Lambda; \mathbf{M}_I \leftarrow \Lambda$

$i \in [1, \dots, I] \text{ and } S_i \neq \perp?$ $\xrightarrow{\text{no}}$



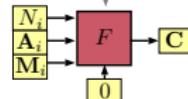
$\xleftarrow{\text{Enc.next}(i, A, M)} \perp \xleftarrow{\perp} \xleftarrow{\text{Enc.next}(i, A, M)}$

$I, J \leftarrow 0; F \leftarrow \text{IdealOAE}(\tau)$

I

$i \in [1, \dots, I] \text{ and } \mathbf{M}_i \neq \perp?$ $\xrightarrow{\text{no}}$

$\mathbf{A}_i \leftarrow \mathbf{A}_i \parallel A; \mathbf{M}_i \leftarrow \mathbf{M}_i \parallel M; m \leftarrow |\mathbf{M}_i|$



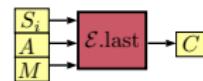
A

C

C[m]

$\xleftarrow{\text{Enc.last}(i, A, M)} \perp \xleftarrow{\perp} \xleftarrow{\text{Enc.last}(i, A, M)}$

$i \in [1, \dots, I] \text{ and } S_i \neq \perp?$ $\xrightarrow{\text{no}}$

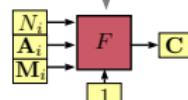


\downarrow
 $S_i \leftarrow \perp$

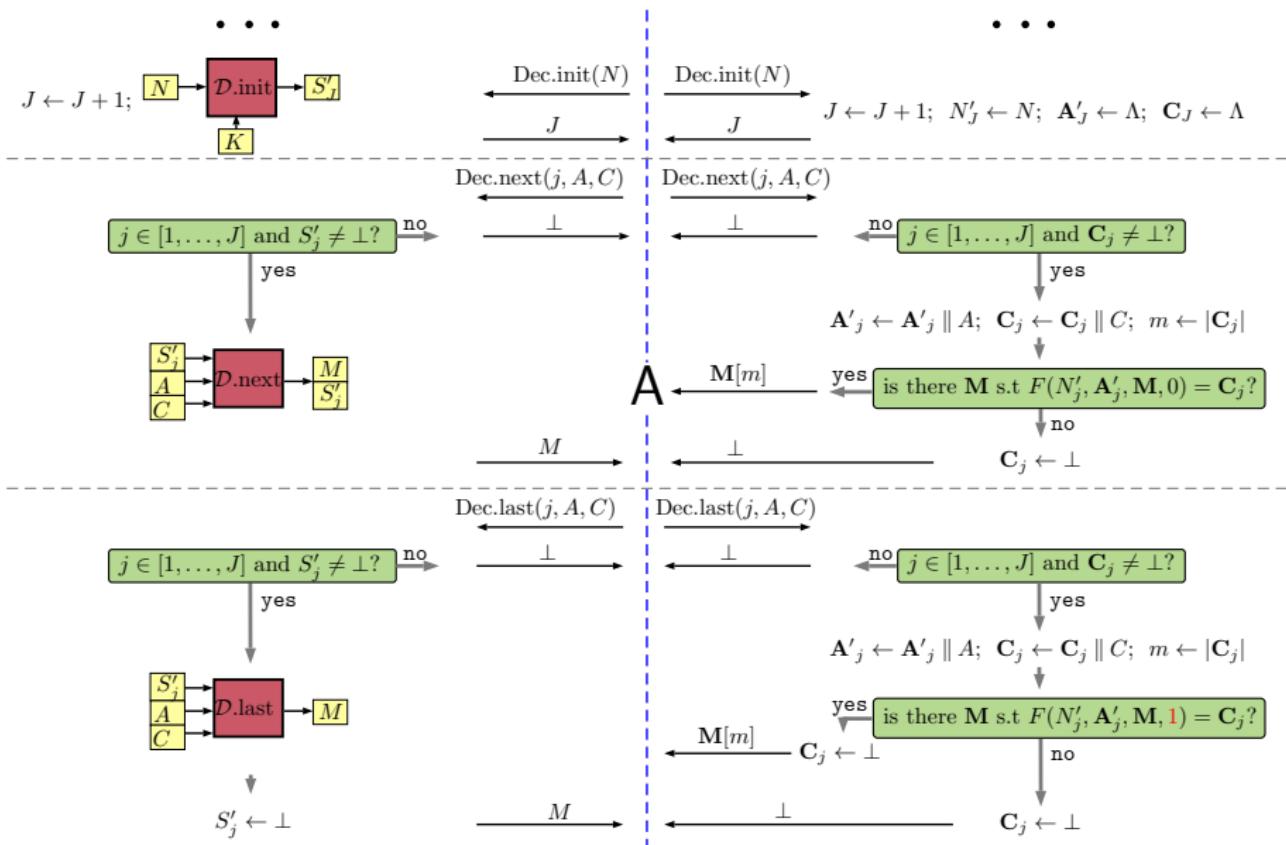
C

C[m]

$\mathbf{A}_i \leftarrow \mathbf{A}_i \parallel A; \mathbf{M}_i \leftarrow \mathbf{M}_i \parallel M; m \leftarrow |\mathbf{M}_i|$

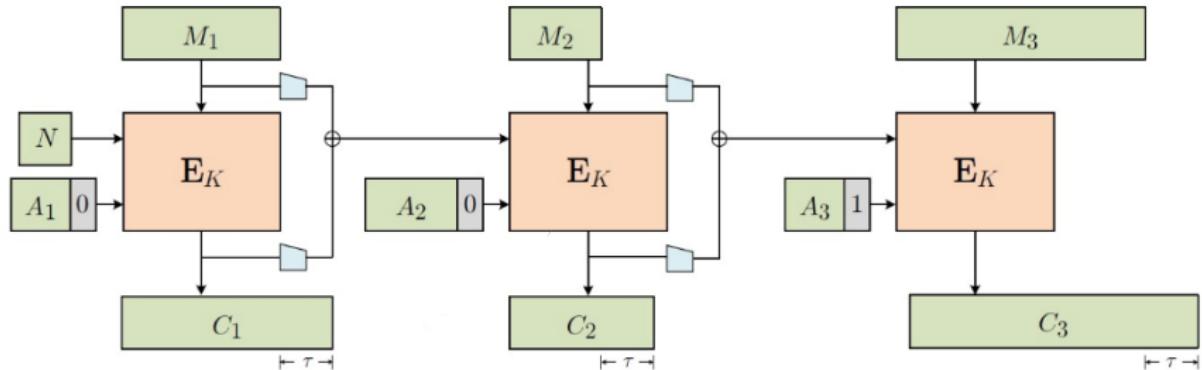


$\mathbf{M}_i \leftarrow \perp$



$$\mathbf{Adv}_{\Pi}^{\text{OAE2}}(\mathbf{A}) = \Pr[\mathbf{A}^{\text{OAE2bReal}} \Rightarrow 1] - \Pr[\mathbf{A}^{\text{OAE2bIdeal}} \Rightarrow 1]$$

Achieving OAE2: the CHAIN construction



Use a τ -expanding PRI in place of \mathbf{E}_K

- ▶ For large τ (e.g. 128 bits) MRAE can be used!
- ▶ For general τ use RAE

Conclusions, Remarks

- Online AE isn't just blockwise *encryption* that preserves prefix!
 - Online decryption as important as online encryption
 - Segment size should suit the user, not designer
- Even for OAE2, CPSS still applies
 - Best possible defense far from comfortable
 - Must insist on using nonces (vs header only schemes)
- Other variants possible
 - Different expansion for last segment
 - Give up nonce misuse-resistance (**nOAE,dOAE**)
- Arbitrary segmentation: a tool, **not** expected capability of channel
 - E.g. *arbitrary* but *constant* to prevent decryption leakage

Questions?

Thank you for your attention!

OAE2a

```
proc initialize
```

```
 $K \leftarrow \mathcal{K}$ 
```

```
proc Enc( $N, A, M$ )
```

```
if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
return  $\mathcal{E}(K, N, A, M)$ 
```

```
proc Dec( $N, A, C$ )
```

```
if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
return  $\mathcal{D}(K, N, A, C)$ 
```

```
proc initialize
```

```
 $F \leftarrow \text{IdealOAE}(\tau)$ 
```

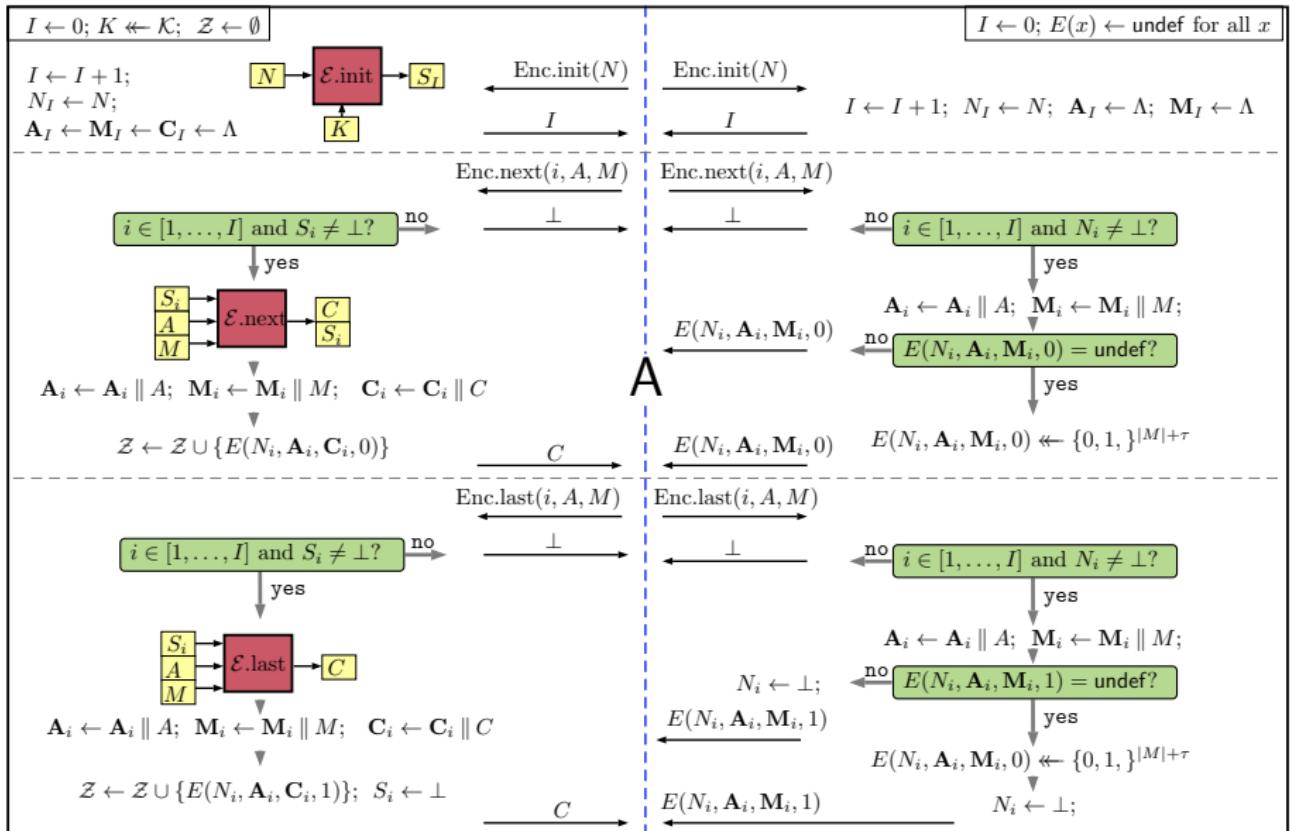
```
proc Enc( $N, A, M$ )
```

```
if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
return  $F(N, A, M, 1)$ 
```

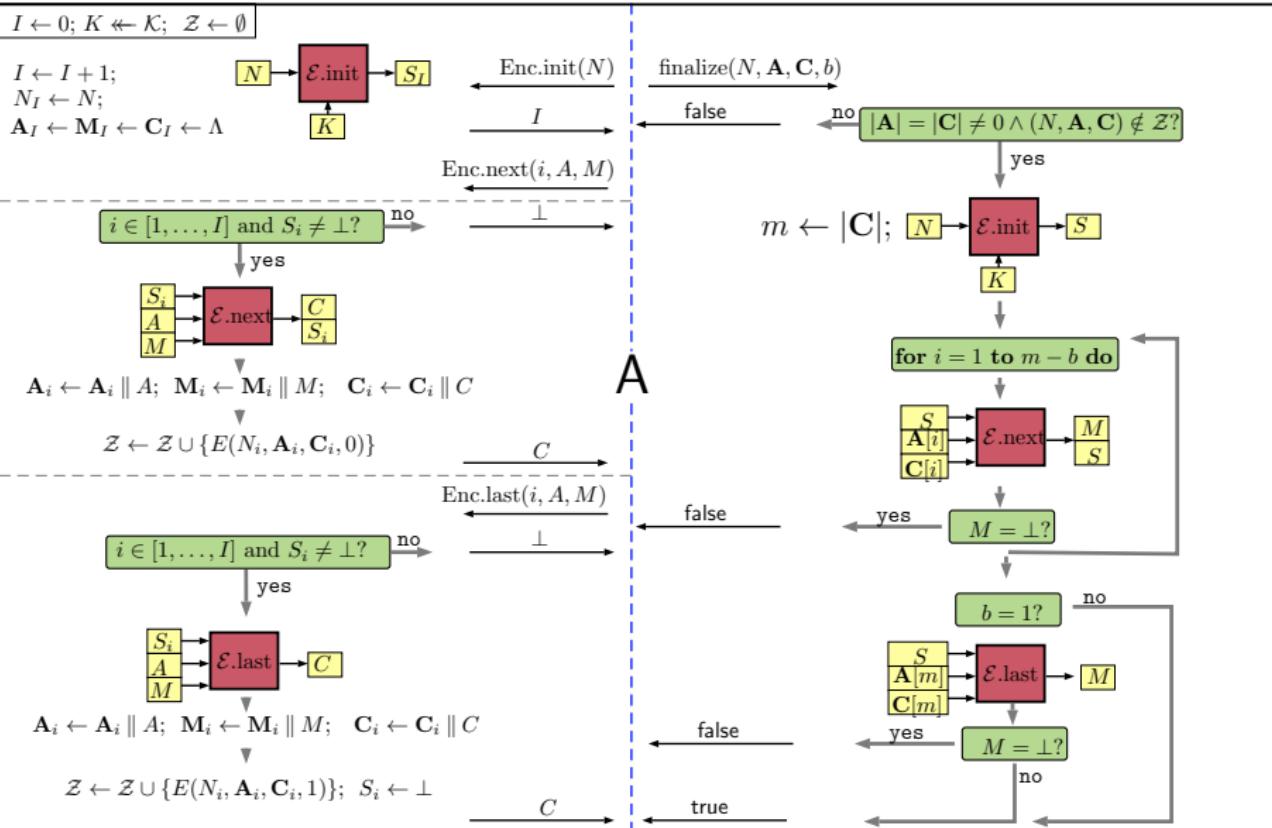
```
proc Dec( $N, A, C$ )
```

```
if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
if  $\exists M$  s.t.  $F(N, A, M, 1) = C$  then return  $M$   
 $M \leftarrow$  the longest vector in  
 $\{M : F(N, A, M, 0)[i] = C[i] \text{ for } i \in [1..|M|-1]\}$   
return  $M$ 
```

$$\mathbf{Adv}_{\Pi}^{\text{OAE2a}}(\mathbf{A}) = \Pr[\mathbf{A}^{\text{OAE2a-real}} \Rightarrow 1] - \Pr[\mathbf{A}^{\text{OAE2a-ideal}} \Rightarrow 1]$$



$$\mathbf{Adv}_{\Pi}^{\text{OAE2}}(\mathbf{A}) = \Pr[\mathbf{A}^{\text{OAE2cReal}} \Rightarrow 1] - \Pr[\mathbf{A}^{\text{OAE2cIdeal}} \Rightarrow 1]$$



$$\mathbf{Adv}_{\Pi}^{\text{OAEP}}(\mathbf{A}) = \Pr[\mathbf{A}^{\text{OAEP2cForge}} \Rightarrow \text{true}]$$

Relations between OAE2a, OAE2b and OAE2c

$$\mathbf{Adv}_{\Pi}^{\text{OAE2b}}(\mathbf{A}_1) \leq \mathbf{Adv}_{\Pi}^{\text{OAE2c-priv}}(\mathbf{B}_{1,1}) + p \cdot \mathbf{Adv}_{\Pi}^{\text{OAE2c-auth}}(\mathbf{B}_{1,2}) + \frac{q^2}{2^\tau}$$

p number of Dec chains, q total number of queries of \mathbf{A}_1 ; $\mathbf{A}_1, \mathbf{B}_{1,1}, \mathbf{B}_{1,2}$ use \approx same resources

$$\mathbf{Adv}_{\Pi}^{\text{OAE2c-priv}}(\mathbf{A}_{2,1}) \leq \mathbf{Adv}_{\Pi}^{\text{OAE2b}}(\mathbf{B}_{2,1}) + \frac{q^2}{2^\tau}$$

$$\mathbf{Adv}_{\Pi}^{\text{OAE2c-auth}}(\mathbf{A}_{2,2}) \leq \mathbf{Adv}_{\Pi}^{\text{OAE2b}}(\mathbf{B}_{2,2}) + \frac{\ell}{2^\tau}$$

q number of $\mathbf{A}_{2,1}$'s queries, ℓ number of segments in $\mathbf{A}_{2,2}$'s output. $\mathbf{A}_{2,1}$ and $\mathbf{B}_{2,1}$ use \approx same resources (same for $\mathbf{A}_{2,2}$ and $\mathbf{B}_{2,2}$)

$$\mathbf{Adv}_{\Pi}^{\text{OAE2a}}(\mathbf{A}_{3,1}) \leq \mathbf{Adv}_{\Pi}^{\text{OAE2b}}(\mathbf{B}_{3,1}) \quad \mathbf{Adv}_{\Pi}^{\text{OAE2b}}(\mathbf{B}_{3,2}) \leq \mathbf{Adv}_{\Pi}^{\text{OAE2a}}(\mathbf{A}_{3,2})$$

$\mathbf{A}_{3,1}$ and $\mathbf{B}_{3,1}$ use \approx same resources, but running time and number of queries of $\mathbf{A}_{3,2}$ is increased quadratically compared to $\mathbf{A}_{3,1}$